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PTO/SB/17 (12-04v2) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. 10/644,025-Conf. #6304 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number TRANSMITTAL Filing Date August 20, 2003 Shun-Chen CHANG First Named Inventor For FY 2005 **Examiner Name** D. J. White Applicant claims small entity status. See 37 CFR 1,27 3745 Art Unit 0941-0830P TOTAL AMOUNT OF PAYMENT 180.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) x Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 02-2448 Deposit Account Name: Birch, Stewart, Kolasch & Birch, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayment of x Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 200 150 500 250 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 100 Provisional 200 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 180 360 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee Paid (\$) Fee (\$) Indep. Claims Extra Claims Fee (\$) 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) **Total Sheets** Extra Sheets Fee (\$) (round up to a whole number) x - 100 = /50 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00 SUBMITTED BY

Signature Registration No. (703) 205-8000
Name (Print/Type) See McKinney Muncy Date November 29, 2005



Docket No.: 0941-0830P

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shun-Chen CHANG

Application No.: 10/644,025

Confirmation No.: 6304

Filed: August 20, 2003

Art Unit: 3745

For: COMPOSITE HEAT-DISSIPATING SYSTEM

AND ITS USED FAN GUARD WITH ADDITIONAL SUPERCHARGING

FUNCTION

Examiner: D. J. White

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER NOTICE OF ALLOWANCE **BUT PRIOR TO PAYMENT OF THE ISSUE FEE)**

HANDCARRY TO:

U.S. Patent and Trademark Office Customer Service Window - MS Issue Fee Randolph Building **401 Dulany Street** Alexandria, VA 22314

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

11/30/2005 HALI11 00000118 10644025

01 FC:1806

180.00 OP

II. **COPIES** 冈 Copies of cited U.S. patents and patent application publications are not included. Copies of foreign patent documents and non-patent literature are included. III. CONCISE EXPLANATION OF THE RELEVANCE (Check at least one box) DOCUMENTS IN THE ENGLISH LANGUAGE - The patents, publications, or other information listed on the attached PTO SB08 are in the English language and therefore, do not require a statement of relevancy. \boxtimes DOCUMENTS NOT IN THE ENGLISH LANGUAGE - A concise explanation of the b. relevance of all patents, publications, or other information listed that is not in the English language is as follows: English Abstracts of all documents are attached. ENGLISH LANGUAGE SEARCH REPORT - An English language version of the search c. report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3). X d. OTHER - The following additional information is provided for the Examiner's consideration. US 2002/0094271A1 corresponds to JP 2078820U, a copy of the first page of the U.S. patent is attached.

Application No.: 10/644,025

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Docket No.: 0941-0830P

Application No.: 10/644,025 Docket No.: 0941-0830P

THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(d): After the mailing date of a Notice of Allowance. See the Statement Under 37 C.F.R. § 1.97(e).

IV. STATEMENT UNDER 37 C.F.R. § 1.97(e)

(check <u>only</u> one box)

ò

The undersigned hereby states that:

- □ a. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or

 □ b. Each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or

 □ c. No item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- Detent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Application No.: 10/644,025 Docket No.: 0941-0830P

V. <u>PAYMENT OF FEES</u> (check one box)

The required fee is listed on the attached Fee Transmittal.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: November 29, 2005

Respectfully submitted,

Registration No.: 32,334

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Attorney for Applicant

Attachment(s):

PTO-SB08
Documents

Foreign Search Report

Fee Fee

Other:

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PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/644,025-Conf. #6304	
11	NFORMATION	I DI	SCLOSURE	Filing Date	August 20, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Shun-Chen CHANG	
				Art Unit	3745	
	(Use as many sheets as necessary)			Examiner Name	D. J. White	
Sheet	1	of	1	Attorney Docket Number	0941-0830P	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-2002/0094271-A1	07-18-2002		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
	ВА	JP-2000-257597-A	09-19-2000			1	
	BB	JP-8-28491-a	01-30-1996			1	
	ВС	JP-6-40318-U	05-27-1994			1	
	BD	JP-3078820-U	04-25-2001			1	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible § Applicant is to place a check mark here if Epolish language Translation is attached. possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.